



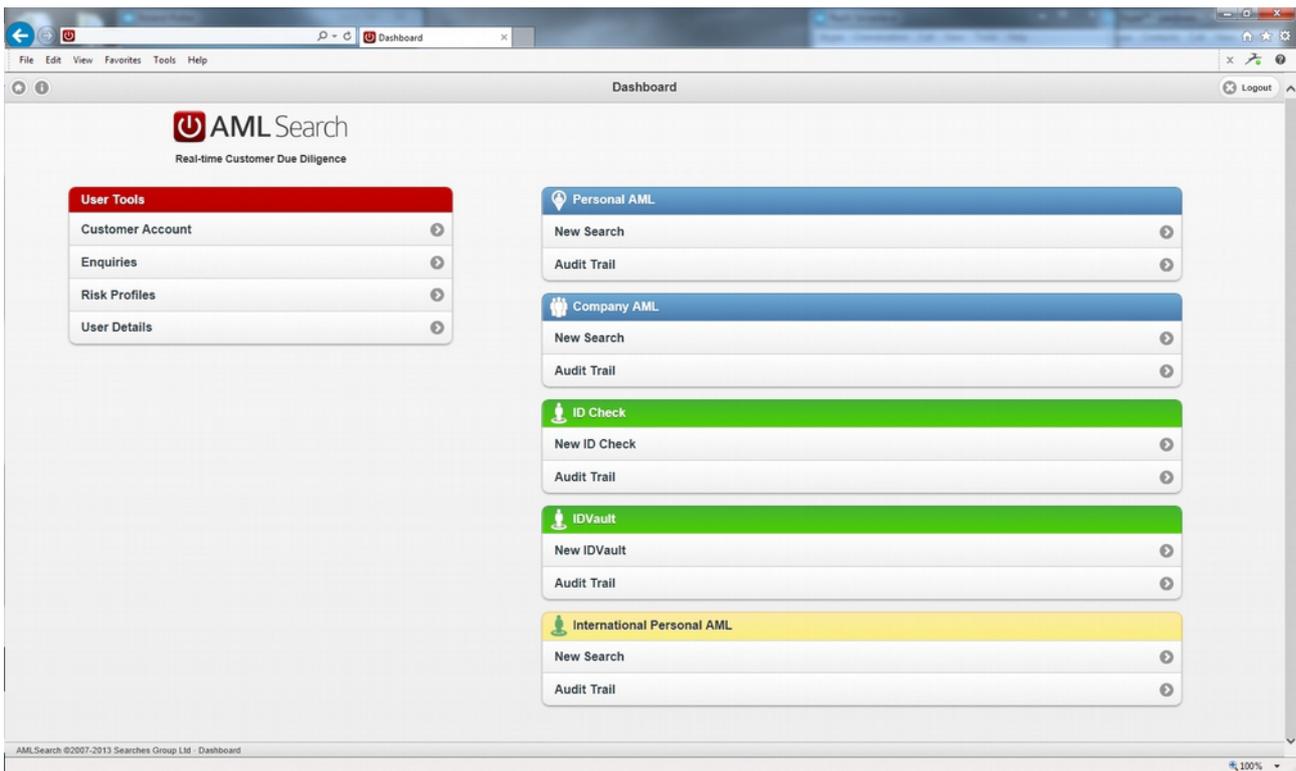
**A Visual Tour and Introduction to
the AML Search Website Version 2**

December 2013

Introduction:

- AML Search V2 builds on the success of V1 and adds a number of new search types following requests from our userbase, providing an enhanced AML Customer Due Diligence system suitable for organisations of all sizes, simplifying and automating the critical task of Customer Due Diligence (CDD) using a risk-based approach.
- We have simplified the input process, as well as making the site compatible with iPhone, iPad, Android phone and tablet, as well as all modern browsers on PC, Mac and Linux.
- Existing users of AML Search can upgrade to AML Search v2 at no cost, if you do not wish to upgrade - you don't have to, AML Search will continue to be supported in addition to AML Search v2.
- New International search options allow users to verify the identity of foreign customers from over 150 countries quickly and with confidence.
- New ID Check to check UK customers quickly and with confidence where a full Anti-Money Laundering search is not required.

The Website Dashboard:



The dashboard page allows you to select the searches you wish to perform, as well as set up users and risk profiles etc.

Personal AML Search Details:

The screenshot shows a web browser window with the URL <http://test.amlsearch.co.uk/PersonalCheck.asp>. The page title is "Personal AML" and there is a "Logout" link in the top right corner. The form is divided into several sections:

- Declaration:** A checkbox labeled "I confirm I have informed the client that an AML Search will be carried out." is checked. Below it is a text input field for "Your Reference:" containing "Test-0001-53".
- Personal Details:** Includes fields for "Title:" (Mr), "First Name:" (John), "Last Name:" (Smith), and "Date of Birth:" (19 Feb 1960). A question "Was the client recently known by another name?" has radio buttons for "Yes", "No" (selected), and "Dont Know".
- Address Details:** Includes fields for "Flat/Floor:" (Flat 1), "House Name/Number:" (The George), "Street:", "Locality:", "Town:" (Harlow), and "Postcode:" (CM17 0AN). A question "Has the client lived here for less than twelve months?" has radio buttons for "Yes", "No" (selected), and "Dont Know". A "Look up Address" button is present.
- AML Search Specific Details: Risk Profiles:** A dropdown menu for "Select Your Risk Profile:" is set to "AML Default (1 Address, 1 ID, 3 Total)".
- AML Search Specific Details: Client Interview Details:** Includes radio buttons for "Client Relationship:" (Existing Client, New Client Known to Staff, New Client - selected), "Type of Interview:" (Interviewed at Home, Interviewed Face-To-Face - selected, Not Interviewed Face-To-Face), and "Are You Adding Further Proof of ID?" (Yes, No - selected).

At the bottom of the form are three buttons: "Back" (black), "Reset" (white), and "Next" (green). The footer contains the text "AMLSearch ©2007-2013 Searches Group Ltd - Dashboard > Personalcheck" and a "100%" zoom indicator.

This is the main entry screen for Personal Searches.

Enter/Upload any additional Documentary Evidence:

The screenshot shows a web browser window with the URL <http://test.amlsearch.co.uk/personaldocuments.asp>. The page title is "Personal AML". The main content area is titled "Identity Documents for AML Search".

Reference: Test-0001-46
Name: Mr John Smith
This section allows you to define, upload and store up to two pieces of documentary evidence of identification.

Document 1:

Type: UK Passport
Reference: abc1
Upload: Upload Existing file on disk
Actual Scan of Document (must be stored on this computer):
Select File... Upload Now
Clear Select Delete File
Filename: None Selected
Upload Status: n/a

Document 2 (Optional):

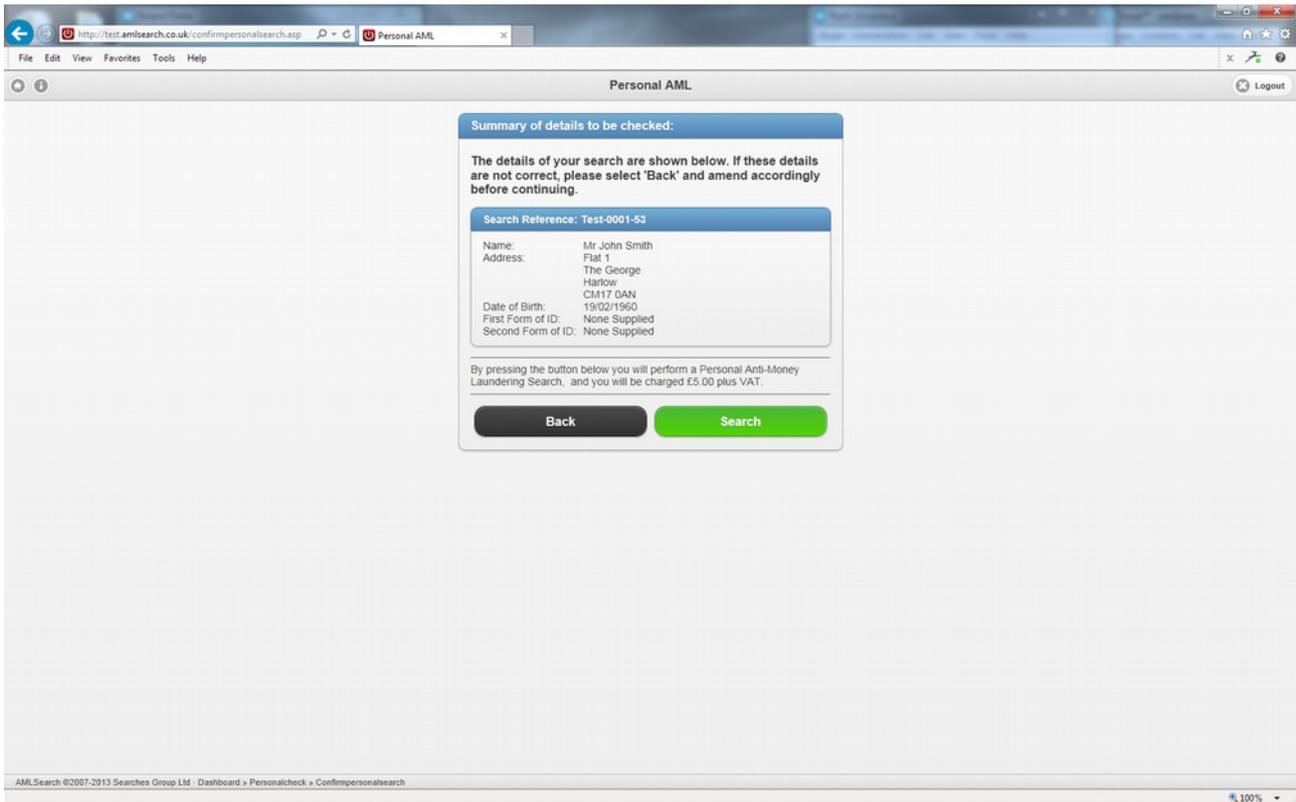
Type: Full UK Driving Licence
Reference: 1234
Upload: None (store reference only)

Back Next

AMLSearch ©2007-2013 Searches Group Ltd Dashboard » Personalcheck » Personaldocuments 100%

You can add reference to additional documentation that may have been provided, although this isn't a requirement for a search to be performed.

Search Input Summary Screen:



Confirmation of the details and cost of the search about to be performed.

Personal AML Search Result:

Personal AML Search Result

Passed

Actions
 Report Print Invoice

Search Details

AML Search Details:
 Date: 16/12/2013
 Reference: Test-0001-53
 Our Search ID: 9328
 Search on: Mr John Smith
 of: Flat 1, The George, Harlow
 CM17 0AN
 19/02/1960
 DOB:
 Documentary Evidence:
 Documentary ID: None Supplied
 Documentary ID 2: None Supplied

For more detail please view the full report which is available as a PDF. If you wish to view it in a separate window click the Report button now, or you can review it later by looking in your search history.

Phone And Address Verification

The telephone number No Match has been matched to the name J Smith of postcode CM17 0AN on a look up of the BT Phonebook Directory Enquiries.

The entered address has been checked against the Royal Mail Postcode Address File (PAF®) and is confirmed as valid and is a match on the postcode (a number of other properties share the same details).

Result Summary

AML Search Summary: Passed

Type of Check:	Identity	Address	Additional (either)	Totals
Requirements:				
Basic Profile Minimum:	1	1	1	3
Results:				
Documentary Input:	0	0	-	0
Valid Electronic Checks:	3	6	-	9
Total Checks Satisfied:	3	6	-	9

CallML Summary: Passed

Type of Check:	Identity	Address	Additional (either)	Totals
Results:				
Documentary Input:	0	0	-	0
Valid Electronic Checks:	3	6	-	9
CallML Results:	3	6	-	9

For more detailed CallML information please view the full report.

Additional On-Line Database Checks
 Click plus icon to view.

Invoice Details
 Click plus icon to view.

Actions
 Report Print Invoice

Back to Dashboard **Check Another**

AML Search ©2007-2013 Searches Group Ltd | Dashboard > Personalcheck > Confmpersonalsearch > Personalresult

The Personal AML Search result. The actual report can be viewed at https://www.amlsearch.co.uk/samples/personal_aml.pdf

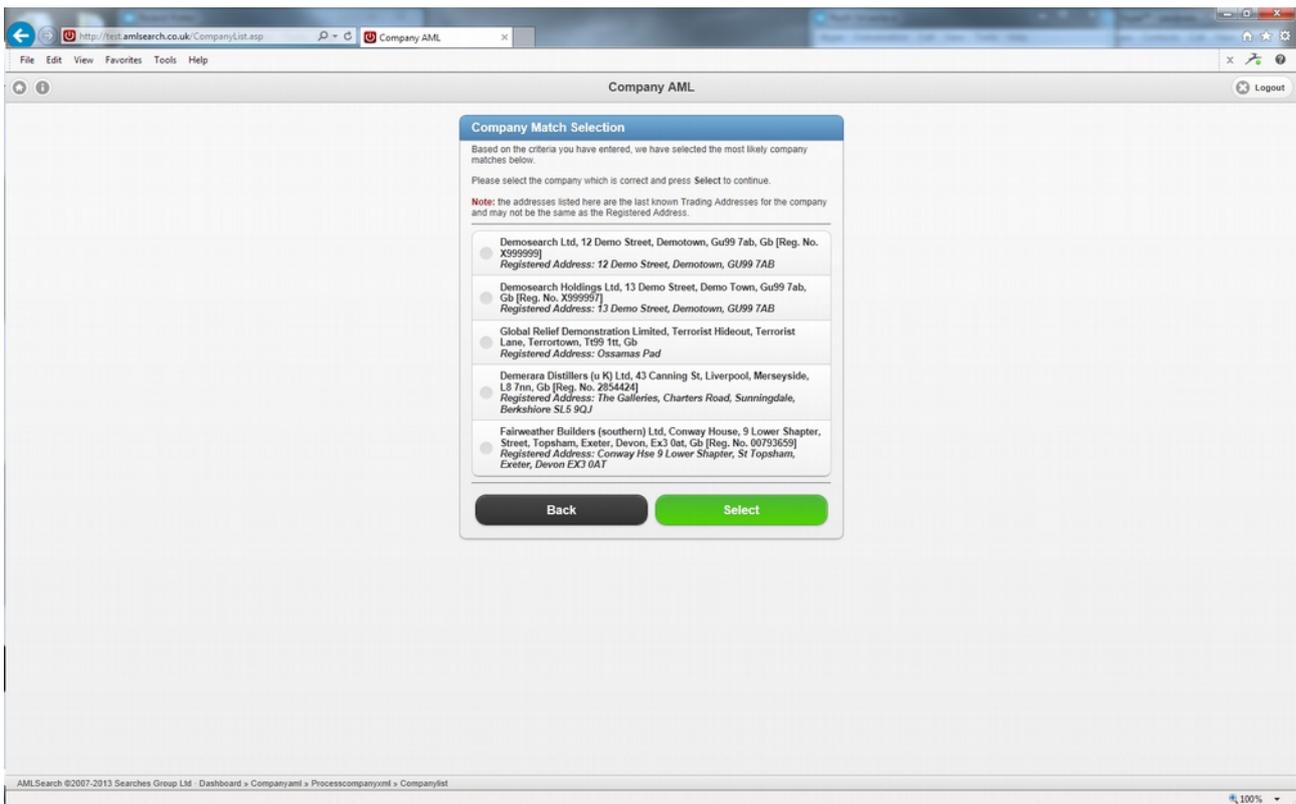
Company AML Search Details:

The screenshot shows a web browser window with the URL <http://test.amlsearch.co.uk/companyaml.asp>. The page title is "Company AML" and there is a "Logout" link in the top right corner. The main content area is titled "Company Details" and contains the following form elements:

- Type of organisation:** A dropdown menu with four options:
 - Private Companies - Corporate (other than regulated firms)
 - Public Quoted Companies - Corporate (other than regulated firms)
 - Partnerships and Unincorporated businesses
 - Charities, church bodies and places of worship
- Your Reference:** A text input field.
- Instructions:** "Select one of the options below. If you enter a Company Name, you will be presented with a number of matches and partial matches to choose from, so to improve accuracy of your result, try entering a Company Registration Number instead."
- Company Name:** A text input field with a "Look Up Company by Name" button.
- Registration No.:** A text input field with a "Look Up Company by Registration Number" button.
- Back:** A large black button at the bottom of the form.

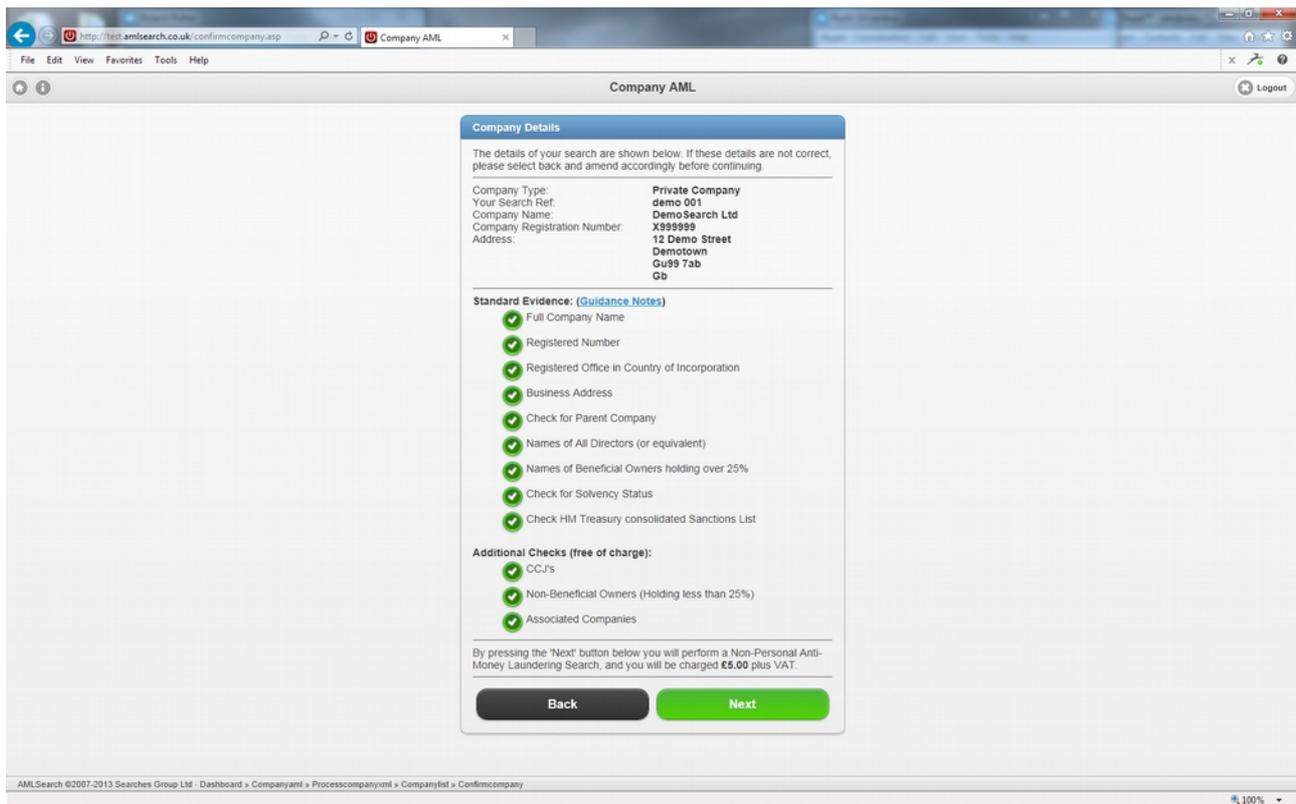
At the bottom left of the browser window, the footer text reads: "AMLSearch ©2007-2013 Searches Group Ltd - Dashboard » Companyaml". At the bottom right, there is a "100%" zoom level indicator.

Company AML Search Lookup:



Select the correct company by lookup of real-time companies house data.

Company AML Search Confirmation:



Confirmation of the details and cost of the search about to be performed.

Company AML Search Result:

Company AML Search Result

All of the selected checks have been satisfied

Actions

Report Print Invoice

Search Summary

Search ID: 5329
Company Type: Private Company
Your Search Reference: demo 001
Company Name: Demo Search Ltd
Address: 12 Demo Street, Demotown, GU99 7AB
Postcode: GU99 7AB

Approximate Location:

Report Summary

Incorporation Date: 21/10/2001
Registered Address: 12 Demo Street, Demotown, GU99 7AB
Registration Number: X999999
Last Annual Return Made Up to: 21/10/2008
Next Annual Return Due: 21/10/2009
Last Accounts Made Up to: 21/10/2008
Next Accounts Due: 21/10/2009
Solvent: Yes
CCJs: 1

Recommended Checks

Description	Selected	Satisfied
Full Company Name	✓	✓
Registered Number	✓	✓
Registered Office in Country of Incorporation	✓	✓
Business Address	✓	✓
Check for Parent Company	✓	✓
Names of All Directors (or equivalent)	✓	✓
Names of Beneficial Owners holding over 25%	✓	✓
Check for Solvency Status	✓	✓
Check HM Treasury consolidated Sanctions List	✓	✓

Invoice Details

£100.00 (incl. VAT)

Additional Details

Expand All Collapse All

Parent Companies (1)

- Demo Search Ltd (subject of the current search)

Directors / Principals (2)

- Danny Demonstrator (Search)

Position: Director
Address: Danny's Place, 1 Danny Street, Danny Town, DD99 9DD
Born: 21/11/1970

Associated Companies (1)

- Demosearch Holdings Ltd (Search)
Registered Number: 56781234

Shareholders (3) - Issued Share Capital: £1,123.00

- Danny Demonstrator (34%) (Search)
- Edwina Evaluator (33%) (Search)
- Demosearch Holdings Ltd (33%) (Search)

No Subsidiaries

Actions

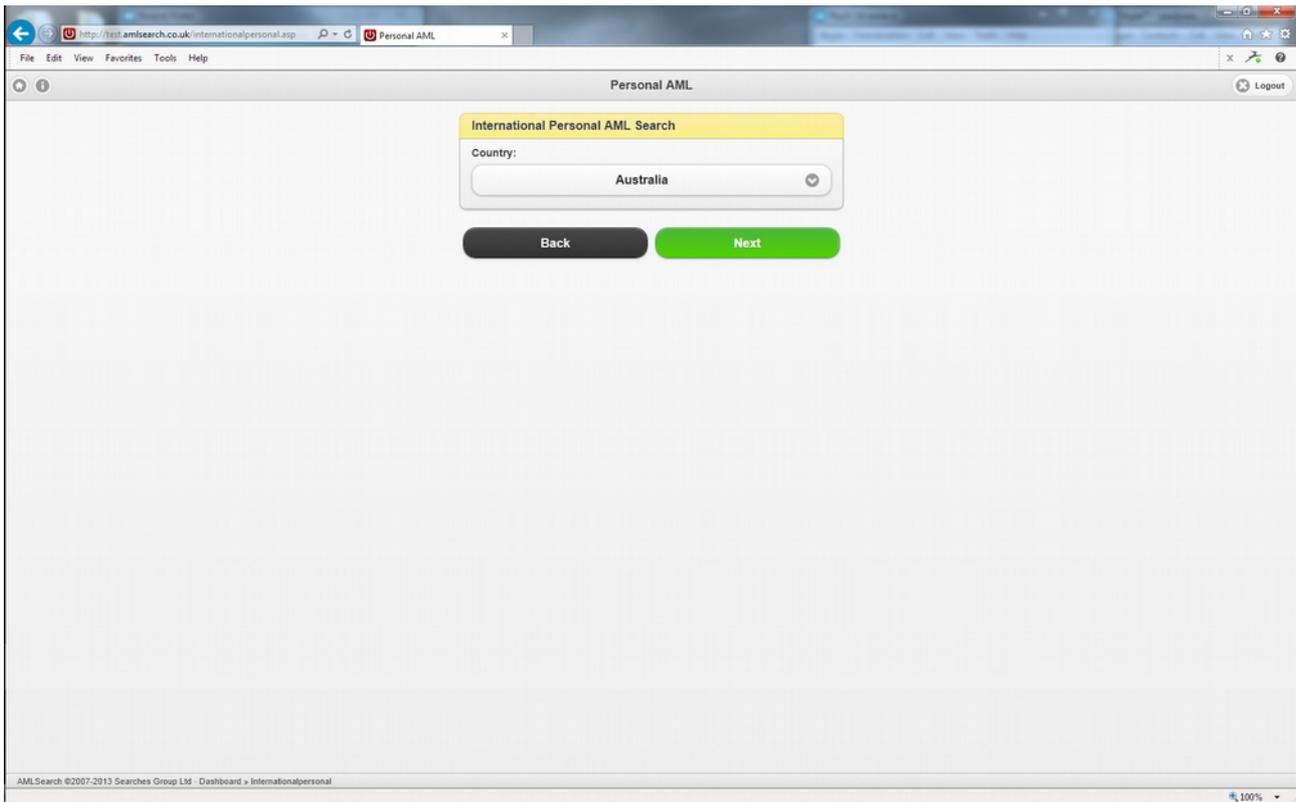
Report Print Invoice

Related Company Search Related Personal Search

Back to Dashboard Check Another

The Company AML Search result. The actual report can be viewed at https://www.amlsearch.co.uk/samples/company_aml.pdf

International Personal AML Search Details:



The screenshot shows a web browser window with the URL <http://test.amlsearch.co.uk/internationalpersonal.asp>. The page title is "Personal AML". The main content area features a yellow header "International Personal AML Search". Below the header, there is a "Country:" label and a dropdown menu with "Australia" selected. At the bottom of the form, there are two buttons: a dark grey "Back" button and a green "Next" button. The footer of the page contains the text "AMLSearch ©2007-2013 Searches Group Ltd - Dashboard > Internationalpersonal" and a zoom level of "100%".

Select the country.

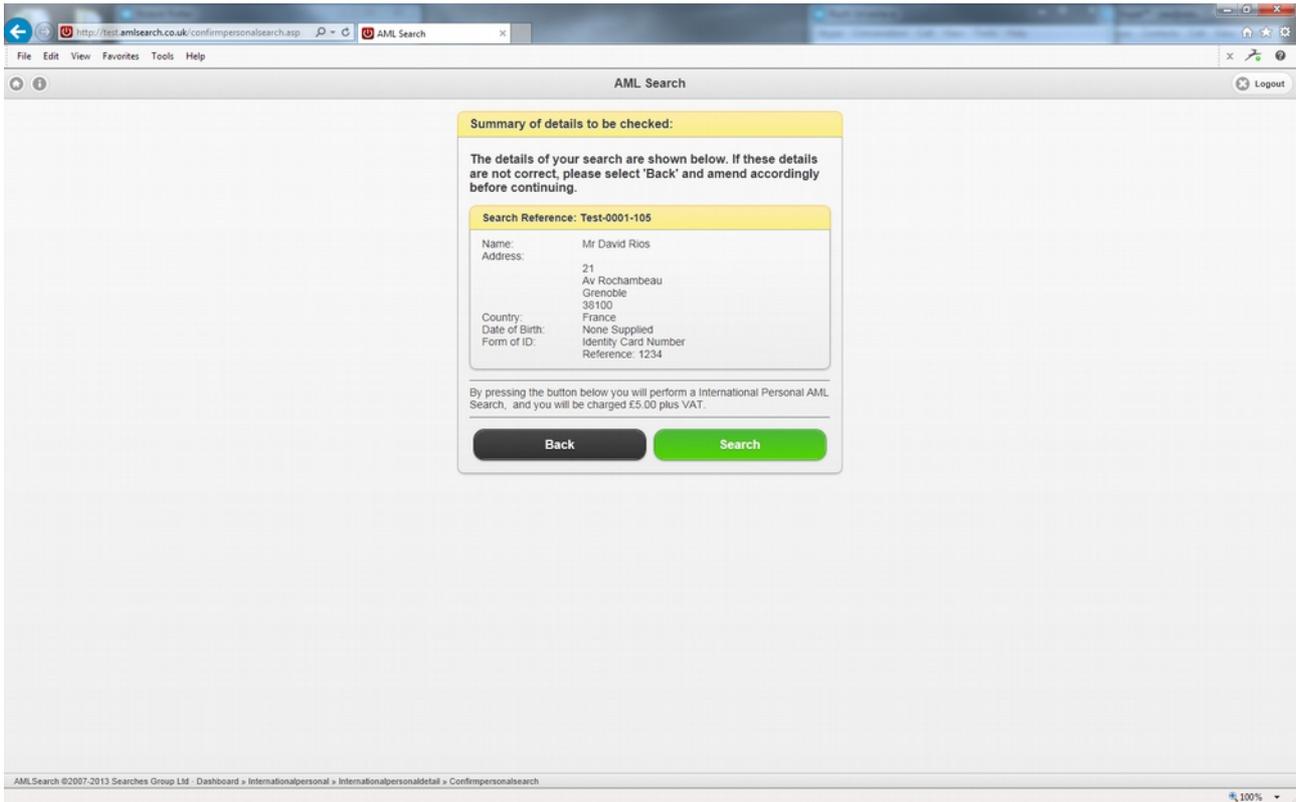
International Personal AML Search Details:

The screenshot shows a web browser window with the URL <http://test.amlsearch.co.uk/InternationalPersonalDetail.as>. The page title is "AML Search" and there is a "Logout" link in the top right corner. The main content area is titled "International Personal AML Search Details" and contains four sections:

- Declaration:** A checkbox labeled "I confirm I have informed the client that an AML Search will be carried out." is checked. Below it is a text input field for "Your Reference:" containing "Test-0001-105".
- Personal Details:** A dropdown menu for "Prefix (e.g. Mr, Mlle etc.):" is set to "Mr". The "First Name:" field contains "David". The "Last Name:" field contains "Rios". The "Gender:" dropdown is set to "Male". The "Date of Birth:" field has three empty dropdown menus. The "Email Address:" and "Phone:" fields are empty.
- Address Verification:** The "House Name / Number:" field contains "21". The "Street:" field contains "Av Rochambeau". The "Town / City:" field contains "Grenoble". The "State / County / Region:" field is empty. The "Zip / Postal Code:" field contains "38100". A note below states: "Note: The format of the postal/zip code is important and varies for each country. For information on what is expected (Usually a numeric value only) please look up the details here: [International Address Formats](#)." The "Country:" field contains "France".
- Documentary Evidence:** A checkbox labeled "Include Documentary Evidence?" is checked. The "ID Type:" dropdown is set to "Identity Card Number". The "ID Reference (use multi-line entry for Machine Readable data if applicable):" field contains "1234".

At the bottom of the form are two buttons: "Back" (black) and "Next" (green). The footer of the page contains the text "AML Search ©2007-2013 Searches Group Ltd · Dashboard > Internationalpersonal > InternationalpersonalDetail" and a zoom level of "100%".

Search Input Summary Screen:



Confirmation of the details and cost of the search about to be performed.

International Personal AML Search Result:

International Personal AML Search Result

ID Verification: **Passed**
Alerts & Warnings: **Referred**

Actions
Report Print Invoice

Search Details

Note: There are Additional Warnings present (see below)

International Search Details:
Date: 16/12/2013
Reference: Test-0001-105
Our Search ID: 9331
Search on: Mr David Rios
of: 21, Av Rochambeau, Grenoble
France
38100
DOB: None Supplied
Gender: Male
Documentary Evidence: Identity Card Number
Documentary ID: Reference: 1234

For more detail please view the full report which is available as a PDF. If you wish to view it in a separate window click the Report button now, or you can review it later by looking in your search history.

Result Summary

Checks Completed: 5

Description	Supplied	Verified
First Name Verification	Yes	Yes
Other Name(s) Verification	No	-
Last Name Verification	Yes	Yes
Suffix Verification	No	-
Date of Birth Verification	No	-
Gender Verification	Yes	No
Address Verification	Yes	Yes
City Verification	Yes	Yes
State (Home) Verification	No	-
ZIP (Home) Verification	Yes	Yes
Supplied ID Verification	Yes	No
Phone Verification	No	-
SSN Verification	No	-

For more detailed information please view the full report.

Additional On-Line Database Checks

The applicant was not found on the following Databases:
HM Treasury Financial Sanctions List
CIA Specially Designated Nationals List

WARNING: The applicant was found on the following Databases:
Politically Exposed Persons List
EU Financial Sanctions List

Note: Matches are on Name only

Invoice Details
Click plus icon to view...

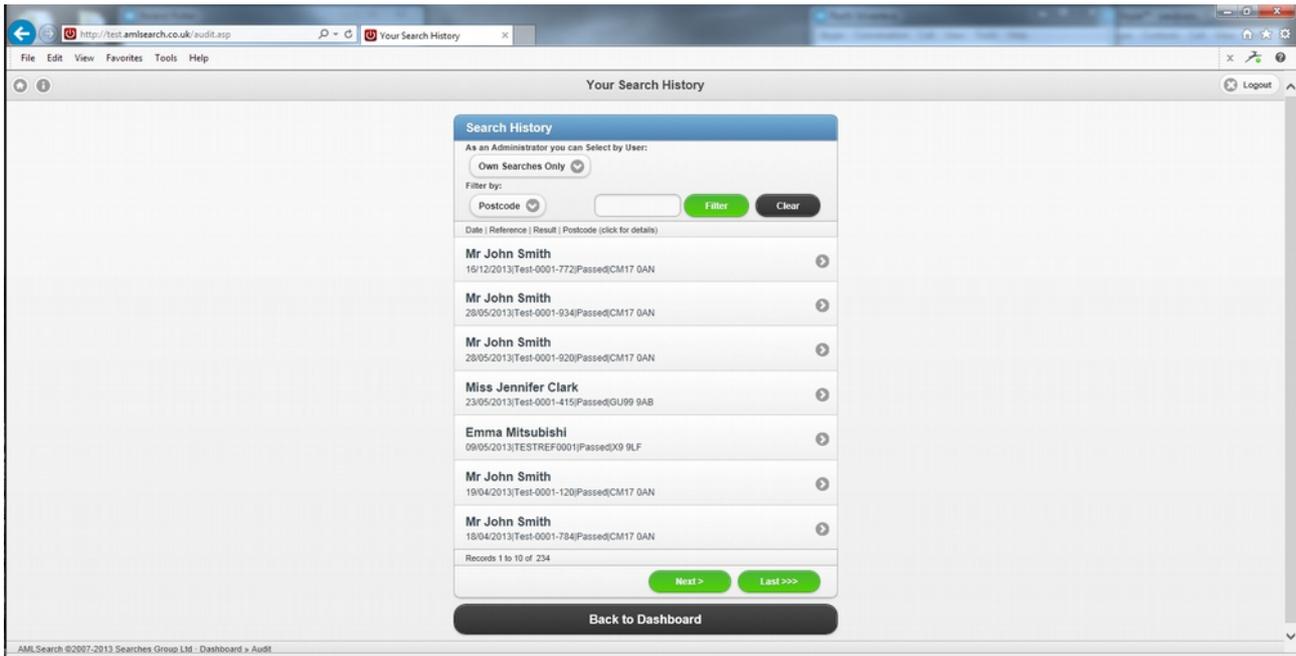
Actions
Report Print Invoice

Back to Dashboard Check Another

AMLSearch ©2007-2013 Searches Group Ltd - Dashboard > Internationalpersonal > Internationalpersonaldetail > Confirmpersonalsearch > Personalesult

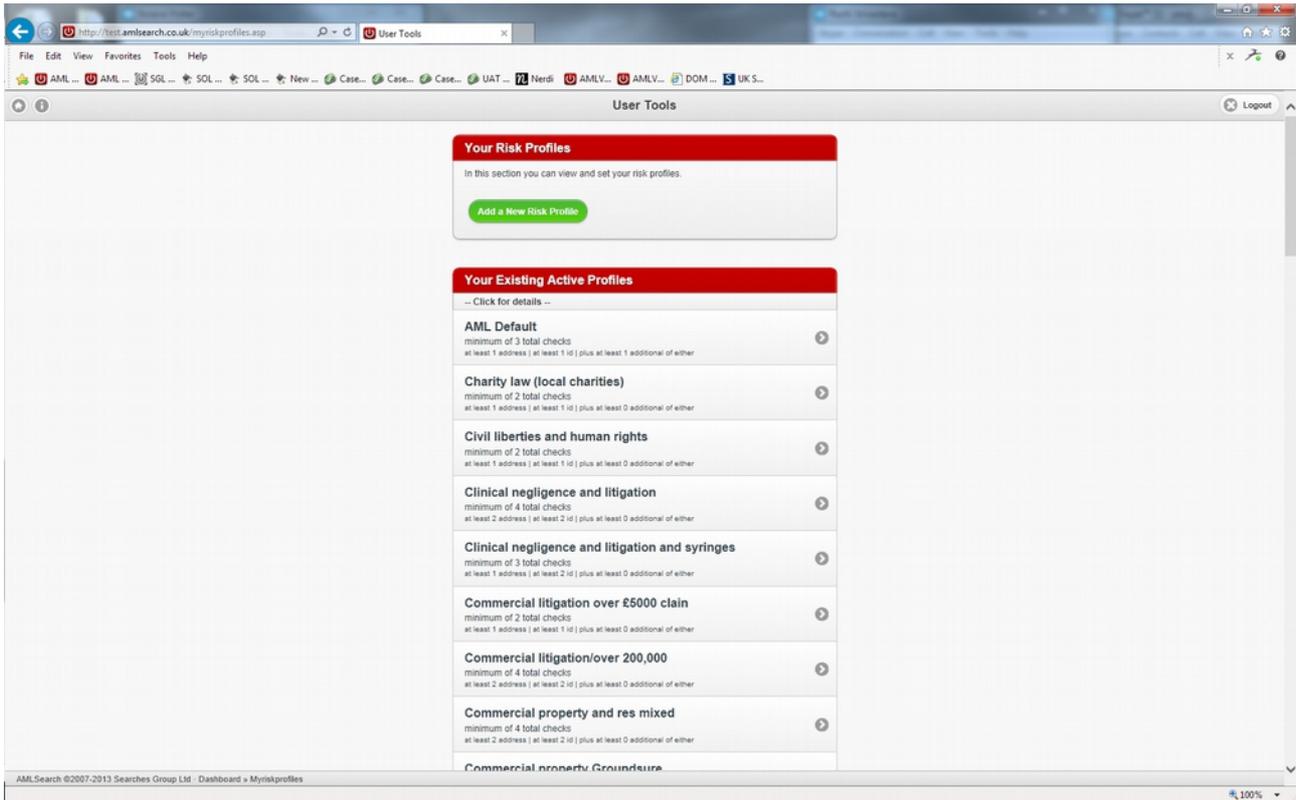
The International Personal AML Search result. The actual report can be viewed at https://www.amlsearch.co.uk/samples/International_Personal_AML.pdf

AML Search Audit Trail:



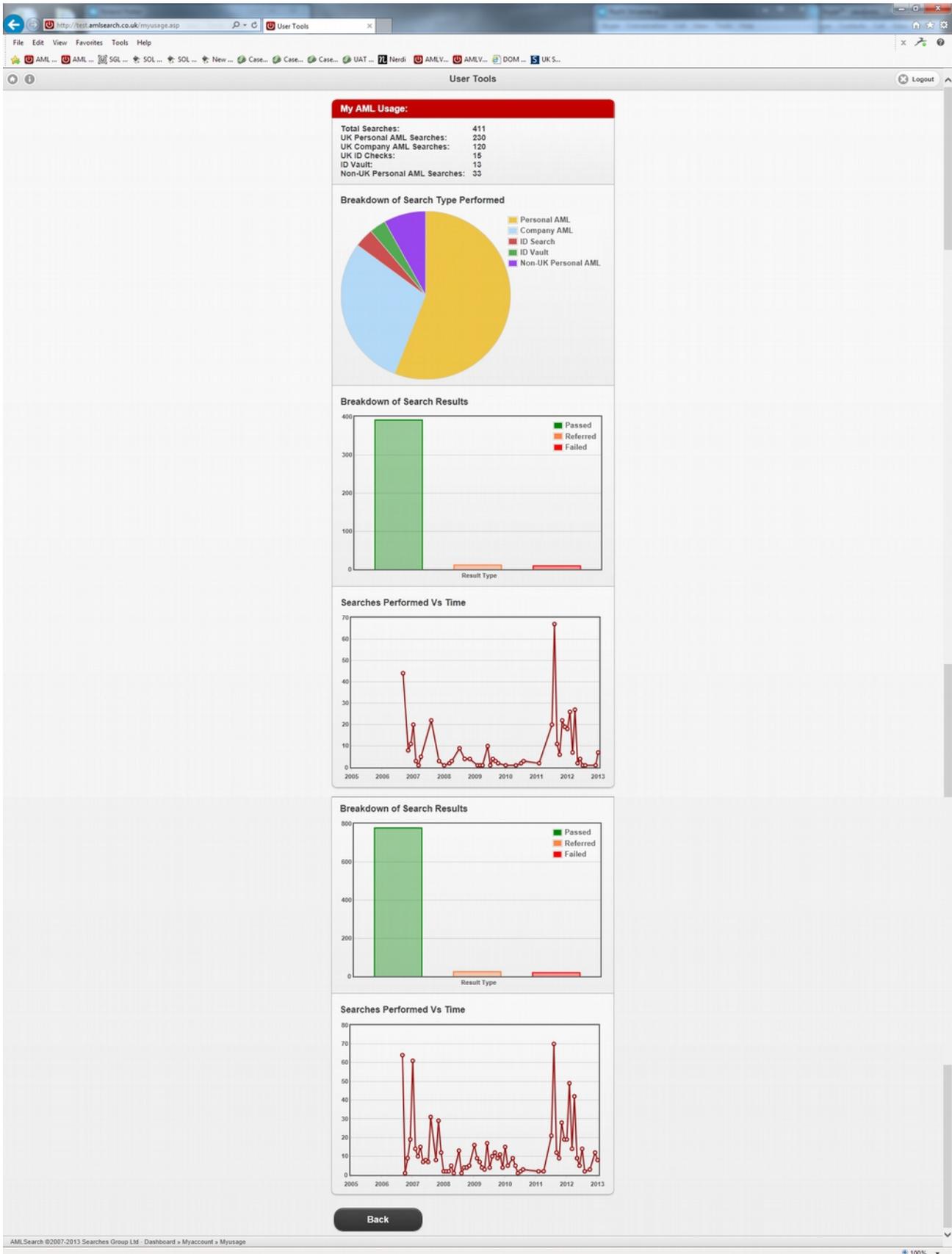
All search history is retained for all your users and can be reviewed at any time. The audit trail retains data for all search types.

AML Search Risk Profiles:



Risk Profile Creation and Modification (Accessible by MLRO only). All prospective clients can be risk assessed for money laundering and terrorist financing purposes at the start of the relationship according to the perceived level of risk set by yourselves. Additional due diligence can be applied to relationships where there is a greater potential for money laundering or terrorist financing.

AML Search Usage Data:



Search metrics for MLRO's to ensure the appropriate searches are being carried out by staff.

Summary:

To see a sample search of each type, please use the links below.

- [Personal AML Search](#)
- [Company AML Search](#)
- [International Personal AML Search](#)
- [ID Check](#)
- [ID Vault](#)

If you would like to sign up to use AML Search V2, please go to <https://www.amlsearch.co.uk/registration.asp> and enter your details.